Governance Risk Discussion Tracker

Risk	Name	Owner	Threat/Opportunity
1	Financial Health	Chris Kerr	Threat
2	Financial Capability	Chris Kerr	Threat
3	Operational Capacity	Chris Kerr	Threat
4	LRC - Ministerial Target 2024	Chris Kerr	Threat
5	Public Trust in the Registers	Jennifer Henderson	Threat
6	Uncertainty of future business model beyond 2024	Jennifer Henderson	Threat
7	People and Change	Jennifer Henderson	Threat
8	Cyber Resilience	Billy Harkness	Threat
9	Product Sustainability	Billy Harkness	Threat
10	LRC - Realising Benefits	Chris Kerr	Opportunity
11	Maximising Use of RoS Data	Kenny Crawford	Opportunity
12	Sustain and Improve Customer Experience	Kenny Crawford	Opportunity
13	Relationship with SG	Jennifer Henderson	Opportunity

Governance Group	Meeting Date	Agenda item name	Risk 1	Risk 2	Risk 3	Risk 4	Risk 5	Risk 6	Risk 7	Risk 8	Risk 9	Oppt. 10	Oppt. 11	Oppt. 12	Oppt.13
ARC BAU	09-May-2023	5.1 Annual Assurance report 5.2 Internal Audit progress report 7.1 RoS Assurance Framework 2023-28 7.2 KRR - Financial Review 7.3 IFRS 16 Implementation 8.1 IF35 Working Group update 11.1 Data Recommendations update	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Board Meeting	13-Jun-2023	5. Open Board Discussion 7. Stretch Plan Discussion 9. High Value Contract Review 10. RoS Forecasting Accuracy 11. Board Performance Monitoring 12. KRR Reporting by Exception 15. ARA Discussion 16. H&S Annual Report 17. Board Effectiveness Review Proposal 18.2. EDI Mainstreaming Report 18.3. SWP Update 18.4. Income Review Project Report 18.5. Annual Compensation & Fraud Reporting 18.6. QDos/IR35 Update	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
ARC check in	28-Jul-2023	Strategic Object 1 deep dive (strategy and planning)			✓							✓			
ARC BAU	08-Aug-2023	5.1 Annual Internal Audit Plan Update 5.2 Internal Audit Progress Report 6.1 Draft Letter of Representation 2022-23 6.2 Draft Annual Audit Report 2022-2023 7.1 Draft Annual Report and Accounts 2022-23 8.1 RoS Assurance Framework 2023-28 10.1 Data Recommendations update 10.2 Data Managment, Use and Storage Audit Review	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

			1	2	3	4	5	6	7	8	9	10	11	12	13	14
Board Meeting	12/13 September 2023	5. ARC Annual Report 6. Deloitte Draft Audit Report 7. ARA 22/23 Final 8. ARC Quarterly Report 10. Stretch Plan Reporting Board Performance Monitoring (against 2 year delivery plan) by exception 12. KRR by exception 14. Principles of financial strategy 15. Affordability Options Discussiom 17. Board Effectiveness Pulse Survey Results 18. Board ToR 19.2. WIIP Assessment Report 19.3. Risk appetite approach as part of ERM framework 22. Update on EMT Recruitment 24. PCS NXD 1:1 27. Digital Showcase (AI) 29. External Factors for 24/25 30. Stretch plan enablers	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
ARC check in	25-Sep-2023	1. RoS Culture deep dive 2. Digital Sustainability deep dive				✓			✓	✓	✓				
ARC BAU	14-Nov-2023	5.2 Worker Remuneration Internal Audit Report 5.3 Performance Management and Development Internal Audit Report 7.1 RoS Assurance Framework 2023-2028 7.2 Risk Management and Business Continuity Policies Annual Review 8.1 National Fraud Initiative (NFI) Overview and Self-Assessment Checklist 10.1 Finance Update	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓