

Governance Risk Discussion Tracker

RoSBrd2023/01/11

Risk	Name	Owner	Threat/Opportunity
1	Financial Health	Chris Kerr	Threat
2	Financial Capability	Chris Kerr	Threat
3	Operational Capacity	Chris Kerr	Threat
4	LRC - Ministerial Target 2024	Chris Kerr	Threat
5	Public Trust in the Registers	Jennifer Henderson	Threat
6	Uncertainty of future business model beyond 2024	Jennifer Henderson	Threat
7	People and Change	Jennifer Henderson	Threat
8	Cyber Resilience	Billy Harkness	Threat
9	Product Sustainability	Billy Harkness	Threat
10	LRC - Realising Benefits	Chris Kerr	Opportunity
11	Maximising Use of RoS Data	Kenny Crawford	Opportunity
12	Sustain and Improve Customer Experience	Kenny Crawford	Opportunity
13	Relationship with SG	Jennifer Henderson	Opportunity

Governance Group	Meeting Date	Agenda item name	Risk 1	Risk 2	Risk 3	Risk 4	Risk 5	Risk 6	Risk 7	Risk 8	Risk 9	Oppt. 10	Oppt. 11	Oppt. 12	Oppt.13
ARC BAU	11-May-21	5.1 Finance audit report 5.2 Payroll audit report 7.1 RoS assurance framework 10.CSAT report 12. Key risk register extract	✓	✓					✓					✓	
Board	08-Jun-21	5. 2024 Principles 6. Review of Roadmap to 2024 8. KPI & Finance Update 9. People Dashboard 11.Key Risk Update 12. H&S Annual Report 15. Draft Annual Report & Accounts	✓	✓			✓	✓	✓				✓	✓	
ARC check in	13-Jul-21	1. Benefits realisation of domains deep dive 2.Assurance opinions & target measures deep dive	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
ARC BAU	20-Aug-21	6. Annual report and accounts 10.1 PKI audit report 10.2 Transition project lessons learned 10.3 Complaints review	✓	✓					✓	✓				✓	
Board	14/15 Sept 21	7. Annual Report and Accounts 9. KPI, Finance & People Reporting 10. Key Risk Reporting 12. 2024 Roadmap Update 17. People & Workplace Workshop incl. Hybrid Working 19. Vision for RoS Beyond 2024	✓	✓	✓		✓	✓	✓		✓		✓		

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ARC check in	28-Sep-21	Fraud deep dive	✓	✓											
ARC BAU	09-Nov-21	5.2 HR Management Development Programme audit report 5.3 Data Management: Use/Storage and Governanve of Data audit report 7.1 RoS assurance framework 2021-2026 8.1 Investors in People overview 8.2 NFI overview and self-assessment 10.1 Finance update 10.2 KPI Scorecard - Sept 21	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Board Meeting	14-Dec-2021	6. 2024 Roadmap, Strategic Workforce Plan, Corporate Plan forward look 2022 - 2027 8. 6 month corporate plan review 9. KPI, People & Finance Reporting 11. KRR reporting 13. IIP & CSPA Results 15 Draft Board skills matrix 16. EDI Steering Group Update 16. Environmental Policy	✓	✓	✓	✓	✓	✓	✓		✓		✓	✓	

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Board Meeting	14-Jun-2022	5. Strategic Workforce Plan 7 Customer & Stakeholder Engagement Strategy 8. Data Strategy 10. Draft Annual Report and Accounts 11. Annual Compensation Update 12. H&S Annual Report 18.2 EDI Steering Group Update	✓	✓	✓		✓	✓	✓				✓	✓	✓
ARC check in	12-Jul-2022	1. Land Register Automation deep dive 2. IR35 update			✓				✓						
ARC BAU	09-Aug-2022	5.2 Grow our Own (GOO) IA report 6. Draft annual audit report and covering letter 7. Draft annual report and accounts 8. Assurance framework & KRR 9. Draft ARC report to the Board 10.1 Moveable transactions project business justification gate 10.2 compliance check of the RoS Country of Origin stastics by the OSR 10.3 We Invest in People interim report	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

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Board Meeting	13/14 Sept 2022	5. ARC Annual Report 6. Audit Scotland 21-22 Annual Report and covering letter 7. Annual Report and Accounts 9. Board Performance Monitoring 10. ARC Quarterly Update 11. KRR Reporting by Exception 13. Corporate Plan Workshop 15. Board Effectiveness Review Results 16. Board ToR Review 22. Culture Workshop 24. Gartner Session re org restructure in a digital world 26. Developing an Estates Strategy Session	✓	✓	✓	✓		✓	✓		✓		✓		
ARC check in	28-Sep-2022	1. People & Change deep dive 2. Operational Capacity deep dive			✓				✓						
ARC BAU	08-Nov-2022	5.3 Procurement Systems audit report 7.1 Risk assurance framework 2022-2027 & key risk register 8.1 PPG interim report 8.2 Civil Service Commission Scorecard 2021-2022 8.3 Data quality risk and assurance annual report 2021-2022	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓

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Board Meeting	13-Dec-2022	5. Long Term Vision Discussion 7. Customer Satisfaction Index Benchmark Results 8. CSPS Results 10. Board Performance YTD (latest data, 6 month progress and priorities for the rest of the year) 11. CP 2022-27 12. SWP Update 14. ARC Update Risk Reporting by exception and risk management policy review. 16.2. EDI Update 16.3. NXD Diversity Summary 16.3 Board Effectiveness Review	✓	✓	✓	✓	✓	✓	✓			✓		✓	✓
ARC BAU	14-Feb-2023	5.3 Information security risk management assurance report 5.2 Annual internal audit plan 2023-24 7. RoS assurance framework 2022/27 8. Annual review of policies 9.1 People assurance data overview	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓